

Daniel Del Rosal

Partner



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Mexico City

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Overview

Daniel Del Rosal is an Attorney and Counselor at Law, admitted in Mexico in 2001. Mr. Del Rosal received his J.D. Degree, from the Universidad Iberoamericana Law School in 2001.

Experience

- Mr. Del Rosal was in-house counsel for the Regulatory Department of the Central Bank of Mexico (1986-1990), drafting opinions and authorizations in the matter of inflationary index; monetary law; legal authorizations and opinions for financial intermediaries applications, Mr. Del Rosal participated in the design of various Financial Laws and other statutes and regulations applicable to financial intermediaries.
- Mr. Del Rosal held various positions at private Financial Institutions, including Grupo Financiero del Sureste —Bursamex, S.A. de C.V., Casa de Bolsa— and Banco del Sureste, S.A. as General Counsel; in Grupo Financiero Santander, S.A.B. de C.V. as Legal Officer; Compliance Officer; Corporate Governance Officer; recently he acted in CIBanco, S.A. as Compliance Managing Director.
- In his practice as independent lawyer, he has acted as an independent director, and chaired the AML Committee, as well as the Corporate Practices Committee of Banco Autofin, S.A. (2014-2015).
- At Banco Santander, Mr. Del Rosal was responsible for the creation and direction of the Regulatory Comptroller's Office and acted as Compliance Officer of the bank. For such purpose he implemented the Compliance Committee of the institution, and led the coordination of such body.
- Mr. Del Rosal designed and implemented the internal controls and Chinese walls for the avoidance of inside trading and other conflicts of interests.
- Likewise Mr. Del Rosal led the Anti Money Laundering Unit within the banking institution and coordinated the Committee established for such purposes, implementing and strengthening the mechanisms for detecting and reporting suspicious and relevant transactions to the financial authorities, implementing permanent improvements, including the audit for corporate clients identified as high risk for money laundering.
- Mr. Del Rosal was responsible for coordinating the implementation of the institution's Codes of Conduct, as

well as its disclosure and training with an emphasis to generate an internal compliance culture.

- He created the Office of Marketing and Tracking of Products and Services and the Committee on the same subject, government body in charge of authorizing the marketing and monitoring of products and services and the evaluation of the reputational risk of any product or service to be sold in the market.
- During Mr. Del Rosal participation in the Corporate Governance Department (2013-2014), he defined the competences of different governing bodies of the institution, and acted as coordinator of the Audit and Corporate Practices Committees, which are integrated by independent directors and by the senior management.
- Also, and since 1989, he has participated as a speaker in various seminars, conferences and refresher courses on legal-financial issues, both domestically and abroad.

Activities and Affiliations

Memberships

- Association of Certified Anti-Money Laundering Specialists (ACAMS) Certification (CAMS) Certified Anti-Money Laundering Specialist (CAMS), the global standard in AML certification.
- Mr. Del Rosal has been an active member of the financial intermediary associations in Mexico, from the legal and compliance perspectives, representing the different Institutions for which he has worked :
- Asociación Mexicana de Intermediarios Bursátiles, A.C. (AMIB, Mexican Association of Stock Intermediaries)
 - Member of the Compliance Committee.
 - He has also been a member of the legal committee;
- Asociación de Bancos de Mexico, A.C. (ABM, (Mexican Association of Banks)
 - Member of the Compliance and AML Committee.
 - Member of the Ethics and Compliance Committee.
 - He has also been a member of the legal committee;
- Mr Del Rosal was a founding member of the Committees of Compliance and AML, both of the Association of Banks of Mexico, and of the Mexican Association of Securities Intermediaries, in which he participated since its inception.
- Bolsa Mexicana de Valores (BMV, Mexican Stock Exchange
- Member of the Issuing (public) Companies Committee

Areas of focus

Practices

- Banking and Finance
- White Collar and Government Investigations

Industry sectors

- Financial Institutions

Languages

- English
- Spanish